The Geological Record of Ocean Acidification

Bärbel Hönisch,1,2 Andy Ridgwell,2 Daniela N. Schmidt,3 Ellen Thomas,4,5 Samantha J. Gibbs,6 Appy Sluijs,7 Richard Zeebee,8 Lee Kump,9 Rowan C. Martinvale,10 Sarah E. Greene,2,10 Wolfgang Kiessling,11 Justin Ries,12 James C. Zachos,13 Dana L. Royer,14 Stephen Barker,14 Thomas M. Marchitto Jr.,15 Ryan Moyer,16 Carles Pelejero,17 Patrizia Ziveri,18,19 Gavin L. Foster,6 Branwen Williams20

Ocean acidification may have severe consequences for marine ecosystems; however, assessing its future impact is difficult because laboratory experiments and field observations are limited by their reduced ecologic complexity and sample period, respectively. In contrast, the geological record contains long-term evidence for a variety of global environmental perturbations, including ocean acidification plus their associated biotic responses. We review events exhibiting evidence for elevated atmospheric CO2, global warming, and ocean acidification over the past ~300 million years of Earth’s history, some with contemporaneous extinction or evolutionary turnover among marine calcifiers. Although similarities exist, no past event perfectly parallels future projections in terms of disrupting the balance of ocean carbonate chemistry—a consequence of the unprecedented rapidity of CO2 release currently taking place.

The geological record is imprinted with numerous examples of biotic responses to natural perturbations in global carbon cycling and climate change (Fig. 1), some of which could have been caused by large-scale ocean acidification. By reconstructing past changes in marine environmental conditions, we can test hypotheses for the causes and effects of future-acidification must be unambiguously identified. In recent years, a variety of trace-element and isotopic tools have become available that can be applied to infer past seawater carbonate chemistry. For instance, the boron isotopic composition (δ11B) of marine carbonates reflects changes in seawater pH, the trace element (such as B, U, and Zn)–to–calcium ratio of benthic and planktic foraminifer shells records ambient [CO2], and the stable carbon isotopic composition (δ13C) of organic molecules (alkenones) can be used to estimate surface ocean aqueous [CO2] (2).

Because direct ocean geochemical proxy observations are still relatively scarce, past ocean acidification is often inferred from a decrease in the accumulation and preservation of CaCO3 in marine sediments, potentially associated with an increased degree of fragmentation of foraminiferal shells (J). However, it is difficult to distinguish between the original calcification responses to chemical changes in the surface ocean and postmortem conditions at the sea floor. For instance, planktic calcifiers may secrete heavier or lighter shells (4), but that signal may be modified at the sea floor through dissolution or overgrowth after deposition (5, 6). This duality can introduce controversy over the identification of causes and effects, the drivers of biological change, and relevant stressors such as ocean acidification on ecosystems (J). However, for the fossil record to be of direct utility in assessing future ecosystem impacts, the occurrence and extent of past ocean acidification must be unambiguously identified.

1Lamont-Doherty Earth Observatory of Columbia University, Palisades, NY 10964, USA. 2School of Geographical Sciences, University of Bristol, Bristol BS8 1SS, UK. 3School of Earth Sciences, University of Bristol, Bristol, BS8 1TR, UK. 4Department of Geology and Geophysics, Yale University, New Haven, CT 06520, USA. 5Department of Earth and Environmental Sciences, Wesleyan University, Middletown, CT 06459, USA. 6Ocean and Earth Science, National Oceanography Centre Southampton, University of Southampton, Southampton SO14 3ZH, UK. 7Department of Earth Sciences, Utrecht University, 3584 CD Utrecht, Netherlands. 8School of Ocean and Earth Science and Technology, Department of Oceanography, University of Hawaii at Manoa, Honolulu, HI 96822, USA. 9Department of Geosciences, Pennsylvania State University, University Park, PA 16802, USA. 10Department of Earth Sciences, University of Southern California (USC), Los Angeles, CA 90089, USA. 11Museum für Naturkunde at Humboldt University, 10115 Berlin, Germany. 12Department of Marine Sciences, University of North Carolina–Chapel Hill, NC 27599, USA. 13Earth and Planetary Sciences Department, University of California Santa Cruz, CA 95064, USA. 14School of Earth and Ocean Sciences, Cardiff University, Cardiff CF10 3AT, UK. 15Department of Geologic Sciences and Institute of Arctic and Alpine Research, University of Colorado, Boulder, CO 80309, USA. 16University of South Florida St. Petersburg, Department of Environmental Science, Policy, and Geography, St. Petersburg, FL 33701, USA. 17Instituto Catalán de Recerca i Estudis Avancats and Department of Marine Biology and Oceanography, Consejo Superior de Investigaciones Científicas, 08003 Barcelona, Catalonia, Spain. 18Institute of Environmental Science and Technology, Universitat Autònoma de Barcelona, 08193 Barcelona, Spain. 19Department of Earth Sciences, Vrije Universiteit, 1018 HV Amsterdam, Netherlands. 20W. M. Keck Science Department of Claremont McKenna College, Pitzer College, and Scripps College, Claremont, CA 91711, USA.

*To whom correspondence should be addressed. E-mail: hoenisch@ldeo.columbia.edu

Fig. 1. Idealized diversity trajectories of the calcareous and organic fossil lineages discussed in the text. Extinction and radiation suggest events of major environmental change throughout the past 300 My. Calcareous plankton is shown in blue, and organic fossils in green, and the line thickness indicates relative and smoothed species richness. Highlighted events (vertical red lines) have been associated with potential ocean acidification events (Fig. 4). Calcareous organisms were not uniformly affected at all times, suggesting the importance of synergistic environmental factors to extinction, adaptation, and evolution as well as different sensitivity due to physiological factors. Identification of a paleo-ocean acidification event therefore requires independent geochemical evidence for ocean chemistry changes. Images of organisms are exemplary. References and further information on the displayed organisms are available in the supporting online material.
whether past intervals of ocean acidification are characterized by environmental conditions relevant for the near future. Coeval changes in ocean circulation will also introduce regional biases in proxy records and hence affect global interpretations.

Here, we review the factors controlling ocean acidification, describe evidence for the occurrence of ocean acidification events in the past, and discuss the potential as well as weaknesses of the geological record in helping us predict future ecosystem changes.

Is Ocean Acidification Primarily a pH-Decline Phenomenon?

The current rate of anthropogenic CO₂ release leads to a surface ocean environment characterized not only by elevated dissolved CO₂ and decreased pH (7) but, critically, decreased saturation with respect to calcium carbonate (CaCO₃), a compound widely used by marine organisms for the construction of their shells and skeletons (8). In contrast, slower rates of CO₂ release lead to a different balance of carbonate chemistry changes and a smaller seawater CaCO₃ saturation response, which may induce differential biotic response or even no response at all, invalidating a direct analog. The reason for a smaller saturation response to slow CO₂ release is that the alkalinity released by rock weathering on land must ultimately be balanced by the preservation and burial of CaCO₃ in marine sediments (Fig. 2), which itself is controlled by the calcium carbonate saturation state of the ocean (9). Hence, CaCO₃ saturation is ultimately regulated primarily by weathering on long time scales, not atmospheric partial pressure of CO₂ (Pco₂). While weathering itself is related to atmospheric Pco₂ (10), it is related much more weakly than ocean pH, which allows pH and CaCO₃ saturation to be almost completely decoupled for slowly increasing atmospheric Pco₂.

Using a global carbon cycle model (2), we show the progressive coupling between CaCO₃ saturation and pH as the rate of CO₂ emissions increases and sources (weathering) and sinks (CaCO₃ burial) of alkalinity are no longer balanced. For rapid century-scale and thus future-relevant increases in atmospheric Pco₂, both surface ocean pH and saturation state decline in tandem (Fig. 3). The projected decrease in ocean surface saturation state—here, with respect to aragonite (Ωaragonite)—is an order of magnitude larger for a rapid CO₂ increase than for a slow [100 thousand years (ky)] CO₂ increase. Ultimately, saturation recoveries while the pH remains suppressed, reflecting how changes in the oceanic concentrations of dissolved inorganic carbon (DIC) and alkalinity make it possible to have simultaneously both high CO₂ and high carbonate ion concentration saturation ([CO₃²⁻]), which controls saturation, but with the relatively greater increase in [CO₃] causing lower pH. The key to unlocking the geological record of ocean acidification is hence to distinguish between long-term steady states and transient changes. We use the term “ocean acidification event” for time intervals in Earth’s history that involve both a reduction in ocean pH and a substantial lowering of CaCO₃ saturation, implying a time scale on the order of 10,000 years and shorter (Fig. 3).

Indications of Paleo-Ocean Acidification

With these criteria in mind, we review (in reverse chronological order) the intervals in Earth’s history for which ocean acidification has been hypothesized, along with the evidence for independent geochemical and biotic changes. We confine this review to the past ~300 million years (My) because the earlier Phanerozoic (and beyond) lacks the pelagic calcifiers that not only provide key proxy information but also create the strong deep-sea carbonate (and hence atmospheric Pco₂) buffer that characterizes the modern Earth system (9). Our criteria for identifying potentially future-relevant past ocean acidification are (i) massive CO₂ release, (ii) pH decline, and (iii) saturation decline. We also discuss evidence for the time scale of CO₂ release, as well as for global warming. Events are given a similarity index that is based on available geochemical data (table S1) and are indicated in Fig. 4A.

Late Pleistocene deglaciations. The last deglaciation is the best documented past event associated with a substantive (30%) CO₂ rise: 189 to 265 μatm between 17.8 and 11.6 ky before
planktic foraminifers show a 0.15 ± 0.05 unit decrease in sea surface pH (12) across the deglacial transition—an average rate of decline of ~0.002 units per 100 years compared with the current rate of more than 0.1 units per 100 years (table S1). Planktic foraminiferal shell weights decreased by 40 to 50% (4), and coccolith mass decreased by ~25% (13). In the deep ocean, changes in carbonate preservation (14), pH [from foraminiferal δ13C (15)] and [CO3^2−] [from foraminiferal B/Ca and Zn/Ca (16, 17)] differed between ocean basins, reflecting covarying changes in deep-water circulation and an internal carbon shift within the ocean. The regional nature of these variations highlights the general need for careful evaluation of regional versus global effects in paleo-studies.

Oligocene–Pliocene. The climate of the Oligocene to Pliocene [34 to 2.6 million years ago (Ma)] contains intervals of elevated temperature and modest deviations of atmospheric pCO2 from modern values (Fig. 4). Of particular interest has been the Pliocene warm period [3.29 to 2.97 Ma (18, 19)], which is characterized by global surface temperatures estimated to be ~2.5°C higher than today (19), atmospheric pCO2 between 330 to 400 μatm (Fig. 4C) (18, 20), and sea surface pH1,06 ~0.06 to 0.11 units lower (18) than the preindustrial. Ecological responses to the warming include migration of tropical foraminifer species toward the poles (21), but there are no documented calcification responses or increased nannoplankton extinction rates (22). The early to middle Miocene (23 to 11 Ma) and Oligocene (34 to 23 Ma) were also characterized by periods of elevated temperatures and slightly higher pCO2 compared with preindustrial values (Fig. 4C) but, because of their long duration, were not associated with changes in CaCO3 saturation (Fig. 3C).

Paleocene–Eocene. Evidence for rapid carbon injection associated with the Paleocene–Eocene Thermal Maximum (PETM, 56 Ma) as well as a number of smaller transient global warming events (hyperthermals) during the late Paleocene and early Eocene (58 to 51 Ma) comes primarily from observations of large [up to ~4 per mil (‰)] negative δ13C excursions (23) associated with pronounced decreases in calcium carbonate preservation (24). Depending on the assumed source, rate, and magnitude of CO2 release (25), a 0.25 to 0.45 unit decline in surface seawater pH is possible, with a reduction in mean surface ocean aragonite saturation from Ω = 3 down to 1.5 to 2 (1). The calcite compensation depth (CCD) (δ) rose by ~2 km to shallower than 1.5 km in places (24) (compared with ~4 km today). Although a pH decrease or pCO2 increase remains to be confirmed by geochemical proxies for any of the hyperthermal events, the amount of carbon injected can be modeled on the basis of consistent carbonate δ13C and CCD changes, yielding between ~2000 and 6000 PgC for the onset of the PETM (26, 27). However, as with the last glacial transition, deep sea geochemistry appears strongly modulated by regional ocean circulation changes (28), which adds an additional layer of complexity to global extrapolation and highlights the importance of adequate spatial coverage of the data.

PETM sediments record the largest extinction among deep-sea benthic foraminifers of the past 75 Ma (29), and a major change in trace fossils indicates a disruption of the macrobenthic community (30). However, the covariation of ocean acidification, warming, and corresponding oxygen depletion (fig. S2) (23) precludes the attribution of this extinction to a single cause (1, 29). In shallow water environments, a gradual shift from calcareous red algae and corals to larger benthic foraminifers as dominant calcifiers started in the Paleocene and was completed at the PETM with the collapse of coralgal reefs and larger benthic foraminiferal turnover (31). This event is recognized as one of the four major metazoan reef crises of the past 300 My (Fig. 1) (32). In marginal marine settings, coccolithophore (33) and dinoflagellate cyst (34) assemblages display changes in species composition, but these are interpreted to reflect sensitivity to temperature, salinity stratification, and/or nutrient availability (34, 35), not necessarily acidification (fig. S2). In the open ocean, the occurrence of deformities in some species of calcareous nannoplankton has been described (36), but despite a strong change in assemblages, there is no bias in extinction or diversification in favor of or against less or more calcified planktic species (37).

Cretaceous and Cretaceous-Paleogene. The well-known mass extinction at 65 Ma is generally accepted to have been triggered by a large asteroid impact (38). In addition to potential terrestrial biomass or fossil carbon burning, the impact may have caused the emission of SO2 from vaporized gypsum deposits at the impact site and/or nitric acid aerosols produced by shock heating of the atmosphere, which could have led to acid rain and hence potentially to rapid acidification of the surface ocean (38). Although planktic calcifiers exhibited elevated rates of extinction and reduced production (22, 39), reef corals did not experience a major extinction (32), and benthic foraminifers were not affected in either shallow or deep waters (29). Because multiple environmental changes covaried and proxy data for marine carbonate chemistry are not yet available, unambiguous attribution of the planktic extinctions to any one driver such as ocean acidification is currently not possible.

The earlier Cretaceous (K) (Fig. 4A) is generally a time of massive chalk deposition (mainly
in the form of nannofossil calcite), as well as one of elevated $P_{CO_2}$ (Fig. 4B) and lower pH (Fig. 4D). This association can be misconceived as evidence that marine calcification will not be impaired under conditions of low pH in the future. However, this reasoning is invalid because extended periods of high $P_{CO_2}$ (Fig. 4B) do not necessarily result in a suppressed seawater calcite saturation state (Fig. 3) (1, 40), which exerts an important control on organisms’ calcification (41).

Cretaceous and Jurassic oceanic anoxic events. The Mesozoic oceanic anoxic events (OAEs) (in particular, OAE 2 ~93 Ma, OAE1a ~120 Ma, and Toarcian OAE ~183 Ma) were intervals during which the ocean’s oxygen minimum and deep anoxic zones expanded markedly (42). The onsets of these OAEs have been linked to the emplacement of large igneous provinces, degassing large amounts of $CO_2$, and associated environmental consequences of warming, lower oxygen solubility, and possibly ocean acidification (42). Some of the Cretaceous OAEs were associated with turnover in plankton communities (43). Deformities and some minor size reduction in coccoliths, as well as a massive increase in the abundance of heavily calcified nannofossils, have been observed (44, 45). However, similar to more recent events, there is difficulty in unequivocally attributing observations to surface water acidification given the covariation of environmental changes (46).

Because most old sea floor (~180 Ma or older) is subducted, the sedimentary record of the Toarcian OAE is now restricted to former continental margins. Sedimentary organic and inorganic carbon deposits display initially negative, followed by positive $\delta^{13}C$ excursions, which is consistent with an influx of $CO_2$ into the atmosphere followed by organic carbon burial (42). The negative isotopic transition occurs in distinct negative $\delta^{13}C$ shifts, each estimated to occur in less than 20 ky (47) and possibly in as little as 650 years (48). The Toarcian OAE is associated with a reef crisis that was particularly selective against corals and hypercalcifying sponges (animals with a large skeletal-to-organic biomass ratio) (Fig. 4B) (32) and with a decrease in nannoplankton flux (49). Again, these observations could have been a response to any one or combination of a number of different contemporaneous environmental changes.

Triassic–Jurassic. The Triassic–Jurassic (T/J) mass extinction is linked to the coeval emplacement of the Central Atlantic Magmatic Province (50). Proxy records across the T/J boundary (~200 Ma) suggest a doubling of atmospheric $P_{CO_2}$ over as little as 20 ky (51, 52), although the absolute $P_{CO_2}$ estimates differ greatly between proxies, with leaf stomata suggesting an increase from 700 to 2000 $\mu$atm, whereas pedogenic carbonates indicate an increase from 2000 to 4400 $\mu$atm (Fig. 4C) (2). Decreased carbonate saturation is inferred from reduced pelagic carbonate accumulation in shelf sediments (53), although shallow water carbonate deposition can vary in response to many parameters, not only acidification. A calcification crisis amongst hypercalcifying taxa is inferred for this period (Fig. 4B), with reefs and scleractinian corals experiencing a near-total collapse (32). However, the observation that tropical species were more affected than extra-tropical species suggests that global warming may have been an important contributor or even dominant cause of this extinction (32).

Permian–Triassic. The Permo–Triassic (P/T) mass extinction (252.3 Ma) was the most severe of the Phanerozoic era and coincided, at least in part, with one of the largest known continental eruptions, the Siberian trap basalts. Recent estimates for the total $CO_2$ release put it at ~13,000 to 43,000 PgC in 20 to 400 ky (54–56)—an annual carbon release of ~0.1 to 1 PgC [compared with 9.9 PgC in 2008 (57)]. There is some observational evidence for carbonate dissolution in shelf settings (54), but its interpretation is again debated (58). There is abundant evidence for ocean anoxia, photic zone euxinia (enrichment in
hydrogen sulfide) (59), and strong warming (54), but no direct proxy evidence for pH or carbonate ion changes. Knoll et al. (59) inferred the preferential survival of taxa with anatomical and physiological features that should confer resilience to reduced carbonate saturation state and hypercapnia (high CO₂ in blood) and preferential extinction of taxa that lacked these traits, such as reef builders (32).

Is There a Geologic Analog for the Future?

A number of past ocean carbon-cycle perturbation events share many of the characteristics of anthropogenic ocean acidification (Fig. 4 and table S1), with the notable exception of the estimated rates of CO₂ release. In the general absence of direct proxy evidence for lower pH and reduced saturation before the Pliocene, global carbon cycle models can be used to infer the magnitude of carbon release by fitting observed changes in the δ¹³C of carbonates and organic remnants (60). However, as well as needing information on the source and isotopic composition of the added carbon, the time scale of δ¹³C change is critically important to the estimation of CO₂ fluxes (25). Because of the lack of open-ocean sediments and increasingly poor temporal and spatial resolution of the geological record further back in time, it is difficult to place adequate constraints on the duration and rate of CO₂ release. Radiometric dating techniques are not accurate enough to identify Mesozoic intervals of 10-ky duration, although orbital spectral analysis of highly resolved isotope and/or sedimentological records can help to partly overcome this—for example, if a δ¹³C excursion is shorter or longer than one precession cycle [21 ky (51)]. Even for the well-studied PETM, the duration of the main phase of this carbon injection is still debated (35, 61), and model-inferred peak rates of ≤1 Pg C per year (26, 61) could potentially be an underestimate.

Additional complications arise because carbon may not have been released at a uniform rate and, in the extreme, may have occurred in the form of rapid pulses. In such cases, the assumption of an average emissions rate throughout the entire duration of the pulsed release will fail to capture the potential for episodes of intense acidification. For instance, although the total duration of the CO₂ release from the T/J–age Central Atlantic Magmatic Province was estimated to be ~600 ky, pulses as short as ~20 ky have been suggested (51, 62). Similarly, the main phase of OAE1a (excluding the recovery interval) was ~150 ky (45) and hence too slow for carbonate saturation to be significantly affected (Fig. 3), but major volcanic eruptions and thus rapid CO₂ release could potentially have produced future-relevant perturbations in the carbon cycle. Substantially improved chronologies and higher-resolution records are needed to refine estimates of rate.

Given current knowledge of the past 300 My of Earth’s history (Fig. 4 and table S1), the PETM and associated hyperthermal events, the T/J, and potentially the P/T all stand out as having excellent potential as analog events, although the T/J and P/T are much more poorly constrained because of the absence of deep-sea carbonate deposits. OAEs may also be relevant but were associated with less severe volcanism (CO₂ release) than were the older events (P/T and T/J). The last deglacial transition, although characterized by temperature and CO₂ increase, is two orders of magnitude slower than current anthropogenic change. It is also thought to largely represent a redistribution of carbon within the ocean and to the atmosphere and terrestrial biosphere and hence did not have as potent and globally uniform an acidification effect as an input from geological reserves. Because of the decoupling between pH and saturation on long time scales (Fig. 3), extended intervals of elevated PCO₂ such as the middle Miocene, Oligocene, and Cretaceous can be firmly ruled out as future-relevant analogs.

What Are the Perspectives for Using the Geological Record to Project Global Change?

Only rapid or pulsed CO₂ release events can provide direct future-relevant information. Assessment of such events critically depends on independent geochemical quantification of the associated changes in the carbonate system, specifically seawater-pH and CaCO₃ saturation. Geochemical proxy estimates are not yet available for the Cretaceous and beyond and need to be obtained to verify whether ocean acidification did indeed happen. This is challenging, because in addition to the potential for increasing post-depositional alteration and reduced stratigraphic exposure, uncertainty over the chemical and isotopic composition of seawater increases and limits our interpretation of these proxies (63, 64). Future studies will have to improve and expand geochemical estimates and their uncertainties of surface and deep-ocean carbonate chemistry associated with carbonate dissolution and ecological changes. This includes finding new archives to study the secular evolution of seawater chemistry but also the laboratory study of living proxy carriers under conditions mimicking past seawater chemistry. An unfortunate aspect of the geological record, however, is the lack of deep-sea carbonates in the Early Jurassic and beyond, which further reduces our ability to reconstruct the carbonate chemistry of those older events.

The sensitivity of ocean chemistry to CO₂ release, and the relationship between induced pH and PCO₂ changes, vary through time and further complicate the picture. For instance, seawater calcium and magnesium ion concentrations were different in the past (Fig. 4C). This alters the ocean’s carbonate buffering capacity and hence sensitivity of the Earth system to carbon perturbation (65) because all other things being equal, higher ambient Ca²⁺ concentrations means that a lower carbonate ion concentration is required to achieve the same saturation and hence balance weathering. Varying seawater Mg/Ca ratios may potentially also affect the mineralogy of marine calcifiers, where the more soluble high-Mg calcite predominated Neogene reefs and reefs during the Permian through Early Jurassic, and more resistant low-Mg calcite predominated during the Late Jurassic through Paleogene (66). Thus, on this mineralogical basis the response of marine calcifiers to ocean acidification and seawater geochemistry during the P/T and T/J would arguably be closer to the modern than, for example, during the PETM (67). Improved estimates of past seawater–Mg/Ca composition are necessary to better evaluate all of this.

Although we have concentrated on the prospects for extracting information from the geological record concerning the impact of ocean acidification, we must question whether it really is necessary to isolate its effect on marine organisms from other covarying factors (68). In particular, consequences of increasing atmospheric CO₂ will also be associated with warming in the surface ocean and a decrease in dissolved oxygen concentration (69). Massive carbon release, whether future or past, will hence share the same combination and sign of environmental changes. The strength of the geological record therefore lies in revealing past coupled warming and ocean acidification (and deoxygenation) events as an “integrated” analog, with future and past events sharing the same combination and sign of environmental changes. However, in additionally driving a strong decline in calcium carbonate saturation alongside pH, the current rate of (mainly fossil fuel) CO₂ release stands out as capable of driving a combination and magnitude of ocean geochemical changes potentially unparalleled in at least the last ~300 My of Earth history, raising the possibility that we are entering an unknown territory of marine ecosystem change.

References and Notes
2. Materials and methods are available as supporting material on Science Online.
8. An online associated carbonate chemistry tutorial is available as supporting material on Science Online.
ERRATUM

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Review: “The geological record of ocean acidification” by B. Hönisch et al. (2 March, p. 1058). The affiliation for author Carles Pelejero was incomplete. The complete affiliation is: “Institució Catalana de Recerca i Estudis Avançats and Department of Marine Biology and Oceanography, Institut de Ciències del Mar, Consejo Superior de Investigaciones Científicas, 08003 Barcelona, Catalonia, Spain.”